

# Residue Field Domination

Clifton Ealy

Department of Mathematics, Western Illinois University

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## Introduction to Valued Fields

- Consider  $\mathbb{R}(t)$ , the field of rational functions with real coefficients.
- There is no  $\sqrt{-1}$  so this field can be ordered. One way to do this is to say  $p(t) < q(t)$  if for all sufficiently large  $r \in \mathbb{R}$ ,  $p(r) < q(r)$ .
- Say  $p(t) \equiv q(t)$  if  $p(t) = O(q(t))$  and  $q(t) = O(p(t))$ . Then  $R(t)^*/\equiv$  is an ordered abelian group, usually called  $\Gamma$  and written additively.
- The quotient map  $v : R(t)^* \rightarrow \Gamma$  is called a *valuation*.
- The collection of all  $p(t)$  in  $R(t)$  such that  $p(t) = O(1)$  is a convex ring. This is called the *valuation ring*, which we will denote  $V$ .
- The collection of all  $m \in V$  such that  $1/m \notin V$  forms a maximal ideal,  $\mathfrak{m}$  of  $V$ . We call these elements *infinitesimals*.
- The map  $\pi : V \rightarrow V/\mathfrak{m}$  is called the *standard part map*.

## Valued Fields in Model Theory

- We will consider fields that are better behaved than  $\mathbb{R}(t)$ .
- Let  $R$  be the the real closure of  $\mathbb{R}(t)$ 
  - that is, close  $\mathbb{R}(t)$  under square roots of positive elements, and insure that polynomials of odd degree have at least one root.
- We add  $\Gamma$  as a sort, as well as  $v : R^* \rightarrow \Gamma$ .
- We add  $k = V/\mathfrak{m}$  as a sort as well as  $\pi : V \rightarrow k$ .
- This is a *real closed valued field* and we refer to its theory as RCVF.
- If you form a field extension by adjoining a root of  $-1$ , you have an *algebraically closed valued field* and we refer to its theory as ACVF.

## Some background

- Haskell, Hrushovski, and Macpherson isolated a phenomena in models of algebraically closed valued fields they called stable domination.
  - A formula,  $\varphi(x, y)$ , is stable if it does not have the order property.
    - i.e. there is no  $(a_i b_i)_{i < \omega}$  such that  $\varphi(a_i, b_j)$  iff  $i < j$ .
  - Thus valued fields are not stable due to the value group.
  - However, if  $L$  and  $M$  satisfy ACVF and each contain a maximal algebraically closed  $C$  with  $k(L)$  algebraically independent from  $k(M)$  over  $k(C)$  and with  $\Gamma(L) \cap \Gamma(M) = \Gamma(C)$  then  $\text{tp}(L/Ck(L)\Gamma(L))$  implies  $\text{tp}(L/M)$ .
    - This (roughly) is the property called stable domination.
  - Why “However”? We need a brief detour into stability and independence relations.

## What Is An Independence Relation?

- An independence relation, written  $A \perp_C^I B$ , should capture the idea that  $B$  and  $C$  together contain no additional interesting information about  $A$  than  $C$  does alone.
- An example: Let  $\mathfrak{M}$  be a  $\mathbb{Q}$ -vector space, and let  $V$  be a definable subspace of  $\mathfrak{M}^2$ .
  - For instance, let  $\mathfrak{M} := (\mathbb{R}, +, \{q \cdot\}_{q \in \mathbb{Q}})$ , and let  $V$  be the line  $q_1x + q_2y = 0$ .
- Consider two elements of  $\mathbb{R}^2$ ,  $a$  and  $b$ , in the same coset of  $V$ . Intuitively,  $b$  should tell you more about  $a$  than you could say without parameters.
  - with the parameter  $b$ , one can say “ $x - b$  is in  $V$ ”. This statement is true of  $a$ , and if  $b_2, b_3, \dots$  are in different cosets of  $V$ , then the formulas “ $x - b_i$  is in  $V$ ” define pairwise disjoint sets.
    - This is an example of “forking” and one writes  $a \not\perp b$ .

## A Second Example

- Let  $\mathfrak{M}$  be  $(\mathbb{C}, +, \cdot)$
- Consider a tuple,  $a$ , contained in  $\mathbb{C}^3$  not in any algebraic surface defined over  $\mathbb{Q}^{alg}$ .
  - if there is a surface, defined over  $B$ , containing  $a$  then it seems reasonable to say that  $b$  has more information about  $a$  than is available over the empty set, and one would write  $a \not\downarrow_B^1$
  - Assume there is no curve containing  $a$  defined over  $B$ . If there is a curve containing  $a$  defined over  $C \supseteq B$ , then  $a \not\downarrow_B^1 C$
- i.e. define  $A \not\downarrow_B^1 C$  to mean there is a tuple of elements of  $A$  which is contained over  $C$  in a variety of lower dimension than over  $B$ .
- It turns out that this is not a different independence relation. This is another example of “forking”, and so we write  $A \not\downarrow_B C$ .

## Example

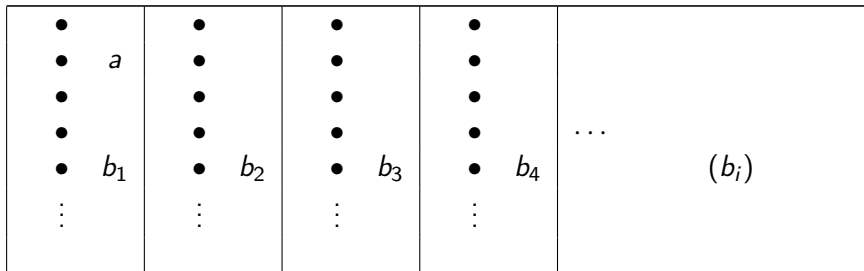
- Let  $\mathcal{L} := \{E(x, y)\}$ . Let  $T$  say that  $E$  is an equivalence relation with infinitely many equivalence classes, each of which is infinite.





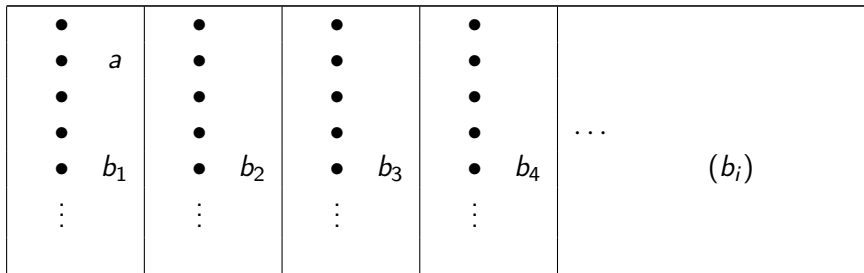
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- $E(x, b_1)$  divides over the empty set, and  $\text{tp}(a/b_1)$  forks over the empty set.

# Forking

## Definition

A formula  $\varphi(x, b)$  *divides* over  $C$  if there is  $(b_i)_{i \in \mathbb{N}}$  such that  $\{\varphi(x, b_i) \mid i \in \mathbb{N}\}$  is  $k$ -inconsistent, and each  $b_i \in \text{tp}(b/C)$ .

## Definition

A type *forks* over  $C$  if it implies a disjunction of formulas which divide over  $C$ .

- Each example of an independence relation so far has been non-forking.
- Non forking is written  $a \downarrow_b c$

## Unique non-forking extensions

- Non-forking is best behaved in theories that are stable (i.e. no formula has the order property).
- Here one has, among other things, the fact that if  $a \perp_C B$  and  $C$  is a model (or just algebraically closed in  $M^{eq}$ ) then  $\text{tp}(a/C)$  implies  $\text{tp}(a/BC)$ .
- Hence the “however” from many slides ago:
  - However, if  $L$  and  $M$  satisfy ACVF and each contain a maximal algebraically closed subfield  $C$  with  $k(L)$  algebraically independent from  $k(M)$  over  $k(C)$  and with  $\Gamma(L) \cap \Gamma(M) = \Gamma(C)$  then  $\text{tp}(L/Ck(L)\Gamma(L))$  implies  $\text{tp}(L/M)$ .

## What goes wrong when there is an order

- Let  $\mathcal{L} := \{<\}$ . Let  $T$  be the theory of dense linear orders.
- $(\mathbb{Q}, <)$

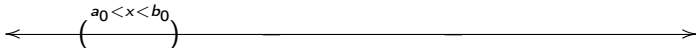
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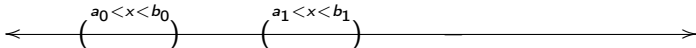
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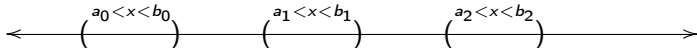
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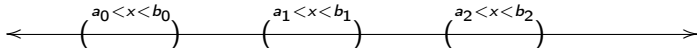
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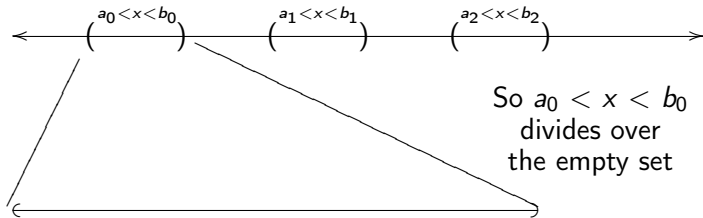
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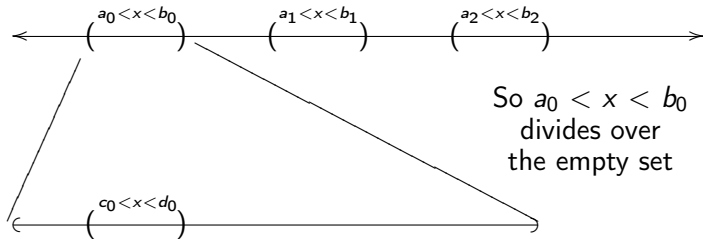
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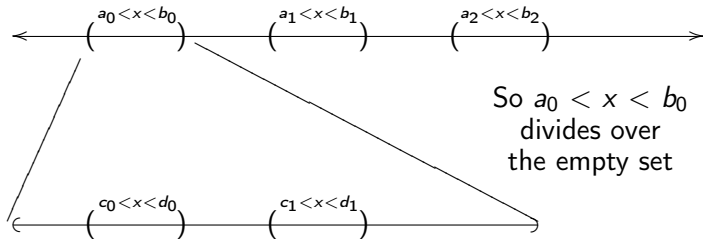
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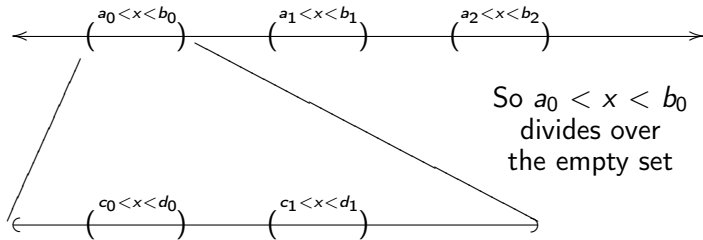
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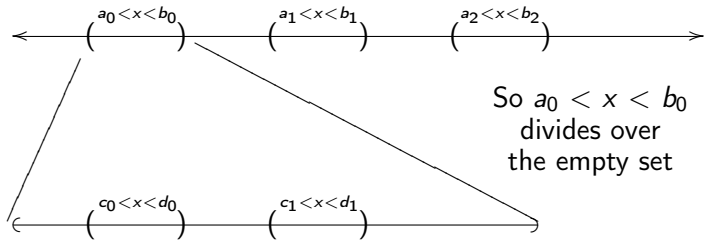
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So  $c_0 < x < d_0$  divides over  $\{a_0, b_0\}$ .

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So  $c_0 < x < d_0$  divides over  $\{a_0, b_0\}$ . There is no end to the “information” that you can have about an element. So forking is not an independence relation.

## $\perp$ -Forking

- There is a generalization of stability (and of simplicity), called *rosiness* that is not ruined by the existence of an order.
- We want a definition similar to forking but that is well-behaved in a larger variety of settings.

### Definition

A formula  $\varphi(x, b)$   $\perp$ -divides over  $C$  if there is some  $\theta(y, d)$  such that  $\{\varphi(x, \tilde{b}) \mid \tilde{b} \models \theta(y, d)\}$  is  $k$ -inconsistent, and  $\text{tp}(b/Cd)$  is infinite and contains  $\theta(y, d)$ .

### Definition

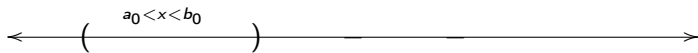
A type  $p$ -forks over  $C$  if it implies a disjunction of formulas which  $\perp$ -divide over  $C$ .

- $\perp$ -forking is a more uniform version of forking.
- One writes  $a \perp_B^p C$  to indicate non- $\perp$ -forking.

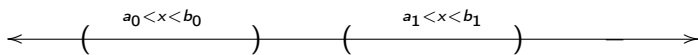


Back to  $(\mathbb{Q}, <)$

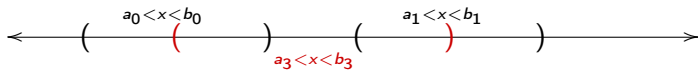
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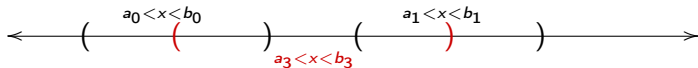
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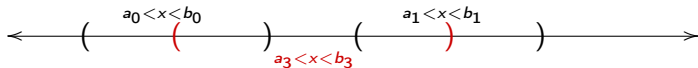


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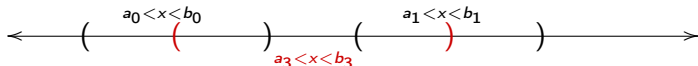
- So  $a_0 < x < b_0$  does not  $p$ -divide. (Only things of the form  $x = b$   $p$ -divide.)

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- So  $a_0 < x < b_0$  does not  $\beta$ -divide. (Only things of the form  $x = b$   $\beta$ -divide.)

### Definition

When  $\perp^{\beta}$  is an independence relation on  $\mathfrak{M}^{eq}$ , we call the theory *rosy*.

- Note in this example if  $a \perp_C b$ ,  $\text{tp}(a/C)$  does not imply  $\text{tp}(a/Cb)$







•	•	•	•	
• $a$	•	•	•	
•	•	•	•	
• $\tilde{b}$	•	•	•	...
• $b_1$	• $b_2$	• $b_3$	• $b_4$	$(b_i)$
⋮	⋮	⋮	⋮	

- There is no  $\beta$ -dividing!
- But the problem would be solved if we could treat  $a/E$  as an element. Then the formula “ $x$  is in the equivalence class  $a/E$ ” would  $\beta$ -divide.
- When one adds to  $\mathfrak{M}$  sorts for quotients of definable equivalence relations, one forms  $\mathfrak{M}^{eq}$ .
- Working in  $\mathfrak{M}^{eq}$  in a stable theory, forking and  $\beta$ -forking coincide.
- In any theory, non-forking is the strongest independence relation and non- $\beta$ -forking is the weakest.

## Back to ACVF

- Just as forking “over reacts” to the presence of an order,  $\mathfrak{p}$ -forking “over reacts” to the presence of an ultrametric.
- And  $\mathfrak{p}$ -forking independence is the weakest possible independence relation, so ACVF does not admit any independence relation.
- However, when one has  $C, L, M$  with  $k(L) \perp_C k(M)$  and  $\Gamma(L) \perp_C^{\mathfrak{p}} \Gamma(M)$  then when  $C$  is maximal and algebraically closed,  $\text{tp}(L/Ck(L)\Gamma(L))$  implies  $\text{tp}(L/M)$ .
- Philosophy: Once one controls for the value group, ACVF is one stable structure sitting on top of another one.

## Residue Field Domination

- Idea: After accounting for the value group, a real closed valued field is an o-minimal structure sitting on top of another o-minimal structure.
- Guess: If  $C \models RCVF$  be a maximal field which is a submodel of both  $L$  and  $M$ , and suppose that  $k(L)\Gamma(L) \perp_C^b k(M)\Gamma(M)$  then  $\text{tp}(L/Ck(L)\Gamma(L))$  together with  $\text{tp}_{<}(L/M)$  implies  $\text{tp}(L/M)$ .

### Theorem (E., Haskell, Maříková)

*In fact,  $\text{tp}(L/Ck(L)\Gamma(L))$  implies  $\text{tp}(L/M)$ .*

### Theorem (E., Haskell, Maříková)

*In either RCVF or ACVF. Suppose  $C$  is maximal and a model.*

*Then*

- $a \perp_C^b b$  if and only if  $k(Ca)\Gamma(Ca) \perp_C^b k(Cb)\Gamma(Cb)$ ,*
- $a \perp_C b$  if and only if  $k(Ca)\Gamma(Ca) \perp_C k(Cb)\Gamma(Cb)$ .*