

# Transferring Imaginaries

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- ▶ Let  $(X_y)_{y \in Y}$  be an  $\emptyset$ -definable family of sets. Define  $y_1 \equiv y_2$  whenever  $X_{y_1} = X_{y_2}$ . The set  $Y/\equiv$  is a “moduli space” for the family  $(X_y)_{y \in Y}$ .

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## Definition

A theory  $T$  eliminates imaginaries if for all  $\emptyset$ -definable equivalence relation  $E \subseteq D^2$ , there exists an  $\emptyset$ -definable function  $f$  defined on  $D$  such that for all  $x, y \in D$ :

$$xEy \iff f(x) = f(y).$$

# What is your quest?

## Proposition (Shelah, 1978)

Let  $A \subseteq M \models T$  stable,  $p \in \mathcal{S}(A)$  and  $p_1, p_2 \in \mathcal{S}(M)$  be two distinct extensions of  $p$  to  $M$  definable over  $A$ . Then there exists an  $\mathcal{L}(A)$ -definable finite equivalence relation  $E$  and  $a_1, a_2 \in M$  such that:

- ▶  $a_1$  and  $a_2$  are not  $E$ -equivalent;
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- ▶ A type  $p$  over  $M$  is said to be definable (over  $A$ ) if for all formula  $\phi(x; y)$  there is a formula  $\theta(y)$  such that

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- ▶ A theory is said to be stable if every type over every model of  $T$  is definable.

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- ▶ Proving elimination of imaginaries in specific structures can have (more or less direct) applications.

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- ▶ Henselian valued fields do not eliminate imaginaries in the language of valued rings.

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Let  $T$  be a theory. For all  $\emptyset$ -definable equivalence relation  $E \subseteq \prod_i S_i$ , let  $S_E$  be a new sort and  $f_E : \prod S_i \rightarrow S_E$  be a new function symbol. Let

$$\mathcal{L}^{\text{eq}} := \mathcal{L} \cup \{S_E, f_E \mid E \text{ is an } \emptyset\text{-definable equivalence relation}\}$$

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$$T^{\text{eq}} := T \cup \{f_E \text{ is onto and } \forall x, y (f_E(x) = f_E(y) \leftrightarrow xEy)\}.$$

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## Proposition

A theory  $T$  (with two constants) eliminates imaginaries if and only if for all  $M \models T$  and  $e \in M^{\text{eq}}$ , there exists a tuple  $a \in M$  such that

$$e \in \text{dcl}^{\text{eq}}(a) \text{ and } a \in \text{dcl}^{\text{eq}}(e).$$



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A theory  $T$  weakly eliminates imaginaries if for all  $M \models T$  and  $e \in M^{\text{eq}}$ , there exists a tuple  $a \in M$  such that

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- ▶ Infinite sets weakly eliminate imaginaries.
- ▶ Any strongly minimal theory weakly eliminates imaginaries.

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  - ▶ The smallest set of definition of  $g$  might contain points from  $M \setminus M'$ .

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- ▶ Assume that every finite valued function  $f$  definable in  $M'$  is covered by a finite valued function  $g$  defined in  $M$ .
- ▶ One would like to deduce elimination of imaginaries in  $T'$  from elimination of imaginaries in  $T$ .
- ▶ There are a number of problems:
  - ▶ No control the domain of  $f$ .
  - ▶  $g$  is not canonical (unless it can somehow be taken minimal).
  - ▶ The smallest set of definition of  $g$  might contain points from  $M \setminus M'$ .
  - ▶ Unclear how to recover  $f$  from  $g$ .

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## Remark

Hypothesis 1 holds in  $\mathbb{Q}_p$  but not hypothesis 2 (in the language of rings).

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## Proposition (Hrushovski-Martin-R., 2014)

Let  $T$  be an  $\mathcal{L}$ -theory that eliminates quantifiers and imaginaries and  $T' \supseteq T_V$  an  $\mathcal{L}'$ -theory. Assume that, for all  $M' \models T'$ ,  $M \models T$  containing  $M'$  and  $A \subseteq M'$ :

1.  $\text{dcl}_{\mathcal{L}'}(A) = \text{acl}_{\mathcal{L}'}(A) \subseteq \text{acl}_{\mathcal{L}}(A)$ ;
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4. Assume  $A = \text{acl}_{\mathcal{L}'}(A)$  and let  $p \in \mathcal{S}_1^{\mathcal{L}'}(A)$ . Then there exists  $\tilde{p} \in \mathcal{S}_1^{\mathcal{L}}(M)$  definable over  $A$  such that  $p \cup \tilde{p}|_{M'}$  is consistent.

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## Proposition

Let  $T_i$  be an  $\mathcal{L}_i$ -theory that eliminates quantifiers and imaginaries and  $T' \supseteq \bigcup_i T_{i,\forall}$  an  $\mathcal{L}'$ -theory. Assume that, for all  $M' \models T'$ ,  $M_i \models T_i$  containing  $M'$  and  $A \subseteq M'$ :

1.  $\text{dcl}_{\mathcal{L}'}(A) = \text{acl}_{\mathcal{L}'}(A) \subseteq \text{acl}_{\mathcal{L}_i}(A)$ ;
2. Every definable  $X \subseteq M'$  has a smallest subset of definition;
3. For all  $e \in \text{dcl}_{M_i}(M')$ , there exists  $e' \in M'$  such that for all  $\sigma \in \text{Aut}(M_i)$  stabilising  $M'$  globally,

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- ▶ All the imaginaries in  $\mathbb{Q}_p$  come from ACVF.
- ▶ All the imaginaries in  $\prod_p \mathbb{Q}_p / \mathfrak{A}$  come from ACVF.



# Adding new functions

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$$\nabla_\omega : \begin{array}{ccc} \mathcal{S}_x^{\mathcal{L}'}(M) & \rightarrow & \mathcal{S}_{x_\omega}^{\mathcal{L}}(M) \\ \text{tp}_{\mathcal{L}'}(a/M) & \mapsto & \text{tp}_{\mathcal{L}}(f_\omega(a)/M) \end{array}$$

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- ▶ We assume that  $\nabla_\omega$  is injective (this is a form of quantifier elimination).
- ▶ That does not, in general, hold in  $T_A$ .
- ▶ It does hold in differentially closed fields of characteristic zero and separably closed fields of finite imperfection degree (and their valued equivalents).

# Imaginaries and definable types

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## Remark

It suffices to prove hypothesis 1 in dimension 1.

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- ▶ Hypothesis I is true because  $\text{DCF}_0$  is stable.
- ▶ Let  $M \models \text{DCF}_0$  and  $p \in \mathcal{S}^{\mathcal{L}_\partial}(M)$ .
- ▶ Let  $A = \text{acl}^{\text{eq}}(A) \subseteq M^{\text{eq}}$  and assume  $p$  is  $\mathcal{L}_\partial^{\text{eq}}(A)$ -definable. By elimination of imaginaries in ACF, the canonical basis of  $\nabla_\omega(p)$  is contained in  $\mathbf{K}(A)$ . In particular,  $p$  is  $\mathcal{L}_\partial(\mathbf{K}(A))$ -definable.

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and we wish this set to be  $\mathcal{L}$ -definable.

## Definition

Let  $\phi(x; y)$  be a formula and  $M$  a structure, we say that  $\phi$  has the independence property in  $M$  if there exists  $(a_n)_{n \in \mathbb{N}}$  and  $(b_X)_{X \subseteq \mathbb{N}}$  such that:

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We say that the theory  $T$  is NIP (not the independence property) if no formula has the independence property in any model of  $T$ .



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- ▶ ACVF is NIP.

# Definable types in enrichments of NIP theories

## Definition (Stable embeddedness)

Let  $M$  be some structure and  $A \subseteq M$ . We say that  $A$  is stably embedded in  $M$  if for all formula  $\phi(x; y)$  and all  $c \in M$ , there exists a formula  $\psi(x; z)$  such that

$$\phi(A; c) = \psi(A; a)$$

for some tuple  $a \in A$ .

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## Definition (Uniform stable embeddedness)

Let  $M$  be some structure and  $A \subseteq M$ . We say that  $A$  is uniformly stably embedded in  $M$  if for all formula  $\phi(x; y)$ , there exists a formula  $\psi(x; z)$  such that for all tuple  $c \in M$ ,

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## Proposition (Simon-R., 2015)

Let  $T$  be an NIP be an  $\mathcal{L}$ -theory and  $\tilde{T}$  be a complete enrichment of  $T$  in a language  $\tilde{\mathcal{L}}$ . Assume that there exists  $M \models \tilde{T}$  such that  $M|_{\mathcal{L}}$  is uniformly stably embedded in every elementary extension.

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Let  $X$  be a set that is both externally  $\mathcal{L}$ -definable and  $\tilde{\mathcal{L}}$ -definable, then  $X$  is  $\mathcal{L}$ -definable.

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In particular, any  $\mathcal{L}$ -type which is  $\tilde{\mathcal{L}}$ -definable is in fact  $\mathcal{L}$ -definable.



## Prolongations and canonical basis II

### Proposition

Let  $T$  be some  $\mathcal{L}$ -theory that eliminates imaginaries,  $f$  be new function symbol and  $T' \supseteq T$  be a complete  $\mathcal{L} \cup \{f\}$ -theory. Assume that:

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1.  $\nabla_\omega$  is injective.
2. For every  $\mathcal{L}'$ -definable set  $X$  there exist an  $\mathcal{L}^{\text{eq}}(\text{acl}^{\text{eq}}(\ulcorner X \urcorner))$ -definable  $\mathcal{L}$ -type  $p$  which is consistent with  $X$ .

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3. There exists  $M \models \tilde{T}$  such that  $M|_{\mathcal{L}}$  is uniformly stably embedded in every elementary extension.

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Thanks!