

# Analytic equivalence relations with $\aleph_1$ -many classes: A computability theoretic approach.

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- 2 Martin's conjecture for analytic equivalence relations.
- 3 Effective-reducibility on a cone.

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# Spector's Theorem

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*Every hyperarithmetic well-ordering is isomorphic to a computable one.*

Remark:

Here, a linear ordering is coded as a subset  $\leq_H \subseteq \mathbb{N}^2$  such that  $(\mathbb{N}; \leq_H)$  is a linear ordering.

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**Def:** A **hyperarithmetical** set is one satisfying the conditions above.



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- $X \in L(\omega_1^{CK})$ .
- $X = \{n \in \mathbb{N} : \varphi(n)\}$ , where  $\varphi$  is a computable infinitary formula.  
(these are  $L_{\omega_1, \omega}$  formulas where disjunctions and conjunctions are **computable**)

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**Theorem:**[Spector 1955] Every hyperarithmetic well ordering is isomorphic to a computable one.

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Our main result

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**Definition:**

- Given linear orderings  $\mathcal{A}$  and  $\mathcal{B}$ , we say that  $\mathcal{A}$  *embeds in*  $\mathcal{B}$  if there is a strictly increasing map  $f: \mathcal{A} \hookrightarrow \mathcal{B}$ . We write  $\mathcal{A} \preceq \mathcal{B}$ .
- $\mathcal{A}$  and  $\mathcal{B}$  are *equimorphic* if  $\mathcal{A} \preceq \mathcal{B}$  and  $\mathcal{B} \preceq \mathcal{A}$ . We write  $\mathcal{A} \sim \mathcal{B}$ .

**Example:**

$$\omega + \omega^* + \omega + \omega^* + \dots \sim \omega^* + \omega + \omega^* + \omega + \dots$$

**Observation:** If  $\alpha$  is an ordinal and  $\mathcal{L} \sim \alpha$ , then  $\mathcal{L}$  is isomorphic to  $\alpha$ .

**Proof:**  $\mathcal{L} \preceq \alpha \implies \mathcal{L}$  is an ordinal and  $\mathcal{L} \leq \alpha$ .

$\alpha \preceq \mathcal{L} \implies \alpha \leq \mathcal{L}$  and hence  $\mathcal{L} \cong \alpha$ . □

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**Theorem:** Every hyperarithmetic linear ordering is equimorphic to a recursive one.

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**Obs:** The theorem generalizes Spector's theorem:

If an ordinal is bi-embeddable with a linear ordering, it is isomorphic.

**The proof** uses Laver's theorem on the well-quasi-orderness of linear orderings to analyze their structure under embeddability.



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**Theorem** [Greenberg–M. 05] Every hyperarithmetical torsion abelian group is bi-embeddable with a computable one.

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**The proof** uses **Ulm invariants**, and **bi-embeddability invariants** defined by [Barwise, Eklof 71]. It also uses that hyperarithmetical groups have **Ulm rank**  $\leq \omega_1^{CK}$ .

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- isomorphism on models of a *counterexample to Vaught's conjecture* (relativized);

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# The Main Theorem

## Theorem ([M.] (ZFC+PD))

Let  $T$  be a theory with uncountably many countable models.  
The following are equivalent:

- $T$  is a counterexample to Vaught's conjecture.
- $T$  satisfies hyperarithmetic-is-recursive on a cone.
- There exists an oracle relative to which

$$\{Sp(\mathcal{A}) : \mathcal{A} \models T\} = \{\{X \in 2^\omega : \omega_1^X \geq \alpha\} : \alpha \in \omega_1\}.$$

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**Theorem** [Morley 70] The number of countable models of a theory  $T$  is either countable,  $\aleph_1$ , or  $2^{\aleph_0}$ .

**Obs:** This is a particular case of Bruges [78] that holds for all  $\Sigma_1^1$  equivalence relations.



## Theorem from 2012 LC, again

**Theorem** [M.] (ZFC+PD) Let  $T$  be a theory with uncountably many countable models. The following are equivalent:

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**Def:**  $\mathbb{K}$  satisfies *hyperarithmetical-is-recursive on a cone* if,  $(\exists Y)(\forall X \geq_T Y)$ , every  $X$ -hyperarithmetical  $\mathcal{A} \in \mathbb{K}$  has  $X$ -computable copy.

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**Obs:** Since, in computability theory, almost all proofs relativize:

For “natural” classes of structures  $\mathbb{K}$ ,

$\mathbb{K}$  satisfies *hyperarithmetical-is-recursive*  $\iff$  *it does on a cone*.

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**Def:** A structure  $\mathcal{A}$  is *computable (Muchnik reducible)* in a structure  $\mathcal{B}$ , if every presentation of  $\mathcal{B}$  computes a presentation of  $\mathcal{A}$ .

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**Obs:** There are odd examples that behave differently inside and outside the hyperarithmetical sets.

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# A sufficient condition for hyp-is-rec.

**Def:** For  $\mathfrak{K} \subseteq 2^\omega$ ,  $(\mathfrak{K}, \equiv, r)$  is a *ranked equivalence relation* if  
 $\equiv$  is an equivalence relation on  $\mathfrak{K}$ , and  $r: \mathfrak{K}/\equiv \rightarrow \omega_1$ .

**Def:**  $(\mathfrak{K}, \equiv, r)$  is *scattered* if  
 $r^{-1}(\alpha)$  contains countably many equivalence classes for each  $\alpha \in \omega_1$ .

**Def:**  $(\mathfrak{K}, \equiv, r)$  is *projective* if  
 $\mathfrak{K}$  and  $\equiv$  are projective and  $r$  has a projective presentation  $2^\omega \rightarrow 2^\omega$ .

## Theorem ([M.] (ZFC+PD))

Let  $(\mathfrak{K}, \equiv, r)$  be scattered projective ranked equivalence relation  
such that  $\forall Z \in \mathfrak{K}, r(Z) < \omega_1^Z$ .  
For every  $X$  on a cone, (i.e.  $\exists Y \forall X \geq_T Y$ ), every equivalence class  
with an  $X$ -hyperarithmetical member has an  $X$ -computable member.

**Lemma:** [Martin] (ZFC+PD) If  $f: 2^\omega \rightarrow \omega_1$  is projective and  $f(X) < \omega_1^X$ ,  
then  $f$  is constant on a cone.

# The main theorem

**Theorem** ([M. 13] (ZFC + ( $0^\sharp$  exists) +  $\neg$ CH)

*Let  $E$  be a  $\Sigma_1^1$ -equivalence relation on  $2^{\mathbb{N}}$ . The following are equivalent*

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This theorem applies to all the examples mentioned before.

Examples:

- isomorphism on well-orderings;
- bi-embeddability on linear orderings;
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In all the natural examples, the base of the cone is 0, which doesn't follow from the Theorem.

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- 1 Every  $\Sigma_1^1$ -equivalence relation without perfectly many classes satisfies *hyperarithmetic-is-recursive on a cone*.
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# Martin's Conjecture

**Def:** A *cone* is a set of the form  $\{X \in 2^{\mathbb{N}} : X \geq_T Y\}$  for some  $Y \in 2^{\mathbb{N}}$ .

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*Martin's conjecture is true for all uniformly degree invariant functions,  
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- 1  $\sim$  has perfectly many classes on every cone.
- 2  $\sim$  is trivial on a cone (i.e.,  $X \sim Y$  for all  $X, Y$  on some cone).
- 3  $X \sim Y \iff \omega_1^X = \omega_1^Y$  for every  $X, Y$  on some cone.

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**Def:**  $\mathbb{K}$  is *on top* if for any  $\Sigma_1^1$  equivalence relation  $E$  on  $\mathbb{N}$ ,  $E \leq_{\text{eff}} I_{\mathbb{N}}(\mathbb{K})$ .



# Some results about FF reductions

**Theorem** ([Fokina, Friedman, Harizanov, Knight, McCoy, M.] )

*The following classes of structures are on top (under  $\leq_{\text{eff}}$ ):*

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- it is **open** whether torsion-free abelian groups are on top.

# Effective reduction of equivalence relations.

Recall: For  $E$  and  $F$  equivalence relation on  $\mathbb{N}$ ,

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Def: For an equivalence relation  $E$  on  $\mathbb{R}$ , define  $E_{\mathbb{N}} \subseteq \mathbb{N}^2$  by

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Def:  $F \subseteq \mathbb{R}^2$  is *on top for effective reducibility* if  $E \leq_{\text{eff}}^{\text{cone}} F$  for all  $\Sigma_1^1$  equivalence relations  $E$  on  $\mathbb{R}$ .

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A *nice class of structures*  $\mathbb{K}$  is one axiomatizable by an  $L_{\omega_1, \omega}$  sentence.

# One direction of the question.

Theorem ([Knight–M. 12; Becker 12])

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- *No theory is intermediate for effective reducibility on a cone.*
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**Obs:** The theorem above implies the downward direction.



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**Definition** Let  $2^{\alpha_0} = \{\sigma \in 2^\alpha : \{\xi < \alpha : \sigma(\xi) = 1\} \text{ is finite}\}$ .

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is a map  $\sigma \mapsto \mathcal{A}_\sigma: 2^{\alpha_0} \rightarrow \mathbb{K}$  such that

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**Theorem** : [M.14] (ZFC+PD) If there exists  $g: \omega_1 \rightarrow \omega_1$ , such that there is a  $g$ - $\alpha$ -tree for  $\mathbb{K}$  ( $\forall \alpha < \omega_1$ ), then  $\mathbb{K}$  is on top on a cone.

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**Theorem** : [M.14] (ZFC+PD) If  $\mathbb{K}$  is on top, there is a  $\Delta_1^1$   $g: \omega_1^{CK} \rightarrow \omega_1^{CK}$  such that there is a computable  $g$ - $\alpha$ -tree for  $\mathbb{K}$  ( $\forall \alpha < \omega_1^{CK}$ ).

# The Main results

Theorem ([M. 12] (ZFC+ $0^\sharp$  exists))

Let  $E$  be an analytic equivalence relation. The following are equivalent:

- $E$  does not have perfectly many classes.
- $E$  satisfies hyperarithmetic-is-recursive on a cone.
- The classes of  $E$  are linearly ordered by Muchnik reducibility.

Theorem [M. 15] Let  $\sim$  be a degree-invariant,  $\Sigma_1^1$  equivalence relation.

Exactly one of the following holds:

- $\sim$  has perfectly many classes on every cone.
- $\sim$  is trivial on a cone (i.e.,  $X \sim Y$  for all  $X, Y$  on some cone).
- $X \sim Y \iff \omega_1^X = \omega_1^Y$  for every  $X, Y$  on some cone.

Open question: Are the following equivalent?

- No theory is intermediate for effective reducibility on a cone.
- Vaught's conjecture.